### Mechanistic and Phenomenological Features of Proton Pumps in the Respiratory Chain of Mitochondria

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Various direct, indirect (kinetic and thermodynamic), and combined mechanisms have been proposed to explain the conversion of redox energy into a transmembrane protonmotive force  $(\Delta p)$  by enzymatic complexes of respiratory chains. The conceptual evolution of these models is examined. The characteristics of thermodynamic coupling between redox transitions of electron carriers and scalar proton transfer in cytochrome c oxidase and its possible involvement in proton pumping is discussed. Other aspects dealt with in this paper are: (i) variability of  $\leftarrow H^+/e^-$  stoichiometries, in cytochrome c oxidase and cytochrome c reductase and its mechanistic implications; (ii) possible models by which the reduction of dioxygen to water at the binuclear heme-copper center of protonmotive oxidases can be directly involved in proton pumping. Finally a unifying concept for proton pumping by the redox complexes of respiratory chain is presented.

**KEY WORDS:** Redox proton pumps; redox Bohr effect; respiratory chains; cytochrome c oxidase; cytochrome c reductase; NADH ubiquinone oxidoreductase.

### **EVOLUTION OF CONCEPTS**

Each of the three enzymatic complexes making up the respiratory chain of mitochondria converts redox energy into a transmembrane protonmotive force ( $\Delta p$ ) (Mitchell, 1966, 1987a; Papa, 1976; Boyer et al., 1977; Wikström and Saraste, 1984; Malmström, 1989; Rich, 1991). The cytochrome system, in particular, was originally conceived by Mitchell (1966) as consisting of an outward directed hydrogenconducting quinone arm connected, in series, to an inward electron-carrying arm of metal centers of  $bc_1$ and aa<sub>3</sub> cytochrome complexes (redox loop), resulting in the effective translocation of 2H<sup>+</sup> from the N to the P side of the membrane per mole of quinol oxidized by oxygen direct mechanism. Papa et al. (1975) found, however, that the  $\leftarrow H^+/2e^-$  stoichiometry for electron flow in the  $bc_1$  complex of mitochondria from ubiquinol to cytochrome c is 4 and not 2 (see also Lawford and Garland, 1973). This was followed by the report of Wikström (1977) and Wikström and Krab (1979) that further electron flow from cytochrome c to oxygen resulted in additional proton release ( $\leftarrow 2\text{H}^+/2e^-$ ). Such a conclusion, after substantial opposition (Mitchell and Moyle, 1983; Papa and Lorusso, 1984), came to be generally accepted (West  $et\ al.$ , 1986; Papa  $et\ al.$ , 1987). To explain these  $\leftarrow \text{H}^+/2e^-$  stoichiometries in terms of direct mechanism. Mitchell introduced the protonmotive quinone cycle for the  $bc_1$  complex (Mitchell, 1976) and the oxygen cycle for cytochrome c oxidase (Mitchell  $et\ al.$ , 1985), subsequently replaced by the Cu loop (Mitchell, 1987b) (Fig. 1a).

Based on the principle of cooperative thermodynamic linkage in allosteric proteins (Bohr effects) (Wyman, 1968) and the finding that linkage between electron transfer at the metal and protolytic events does occur in cytochromes (redox Bohr effects) (Dutton and Wilson, 1974; Papa, 1976; Papa et al., 1979), Papa et al. (1973) proposed an indirect cooperative model for proton pumping by cytochromes

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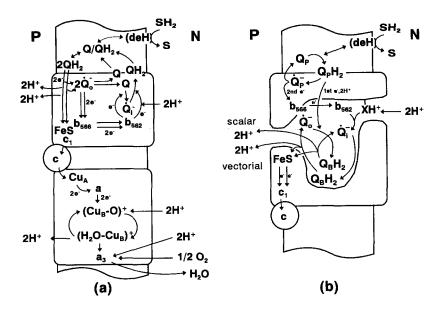


Fig. 1. Models for redox and protonmotive activity of the cytochrome system of mitochondria. a: Ubiquinone cycle for the  $bc_1$  complex and  $Cu_B$  loop for cytochrome c oxidase complex. In the  $Cu_B$  loop proton translocation from the N to the P side is mediated by reorientation around  $Cu_B$  of  $OH^-$  (or  $O^-$ ) and  $H_2O$  (Mitchell, 1987b). b: Q-gated  $H^+$  pump for the  $bc_1$  complex. The ubiquinone cycle is based on cycling of one of the two electrons donated by ubiquinol of the pool to the  $bc_1$  complex and might involve exchange of protein-bound quinone with the pool (Mitchell, 1976, 1987a). The Q-gated pump envisages a linear split pathway for electron transfer mediated by nonexchangeable protein-stabilized quinol/semiquinone, which provides pumping of  $2H^+/e^-$  (Papa  $et\ al.$ , 1983b, 1989).

(vectorial Bohr mechanism) (see also Von Jagow and Sebald, 1980). The cooperative mechanism, applied to the  $bc_1$  complex, was later developed into a combined model in which redox Bohr effects were conceived to operate in series with protonmotive redox catalysis by the protein-bound quinol/semiquinone couple (Q-gated proton pump) (Papa et al., 1983b, 1989) (Fig. 1b).

A commonly used, minimal model for redox proton pump is the eight-state "cubic" formalism developed by Wikström et al. (1981). This describes the essential steps of the pump and can be generally applied to both direct and indirect coupling mechanisms. The cubic model emphasizes the role of reorientation of the electron and proton transfer centers [alternating access of the centers to their reactants (cf. Malmström, 1985)] and the feasibility of kinetic linkage (cf. Malmström, 1985; Blair et al., 1986).

The concept of indirect kinetic linkage, i.e., high kinetic preference of coupled protonmotive electron-transfer reaction over decoupled electron transfer (slips), is clearly described in the transition-state mechanism developed by Blair *et al.* (1986) and Malmström

(1985, 1989). In this mechanism, the transition-state of an electron-transfer step is proposed to be stabilized by protonation, thus promoting, through reorientation of the redox and protolytic center(s), electron transfer from a donor to an acceptor and proton translocation from the input (N) to the output (P) sides (Malmström, 1985, 1989). The pump can exhibit variable slipping with regard to electrons or protons (Wikström et al., 1981; Blair et al., 1986; Malmström, 1989). A drawback of the transition state mechanism may be represented by low population of the protonated "transition state" in the coupled state in which the N phase experiences an alkaline pH. This difficulty can be minimized by redox Bohr effects which would provide kinetic advantage by promoting protonation of the transition state when, in its reduced state, it is accessible to protons from the N phase (Malmström, 1985; Blair et al., 1986).

### **REDOX BOHR EFFECTS**

Redox Bohr effects result in pH dependence of the midpoint redox potential of the electron carriers

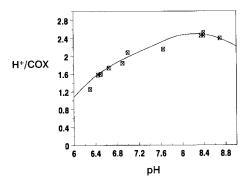


Fig. 2. pH dependence of the redox-Bohr effect (H $^+$ /COX coupling number) in soluble cytochrome c oxidase. Purified cytochrome c oxidase (2  $\mu$ M) suspension supplemented with 2  $\mu$ M cytochrome c and a small amount of rat liver mitochondria (0.5  $\mu$ M  $aa_3$ ) was made anaerobic by excess succinate in the presence of CCCP. After addition of malonate, oxygenation was brought about by repetitive pulses of oxygenated medium (5  $\mu$ M dioxygen). Oxidoreduction of hemes  $a+a_3$  and Cu<sub>A</sub> was monitored spectrophotometrically, and the scalar proton uptake and release, associated with the full reduction and oxidation of the oxidase redox centers, respectively, was measured by pH electrode (T. Vygodina, N. Capitanio, G. Capitanio, A. Konstantinov, and S. Papa, unpublished observations).

involved (Urban and Klingenberg, 1969; Wilson et al., 1972; Dutton and Wilson, 1974; Van Gelder et al., 1977; Blair et al., 1985) and in scalar-proton transfer associated to their oxido-reduction (Papa et al., 1979, 1986).

In the cytochrome c oxidase isolated from beefheart mitochondria the number of scalar H<sup>+</sup>/COX taken up upon full reduction of hemes  $a, a_3$  Cu<sub>A</sub>, and Cu<sub>B</sub>, and released per mole of soluble oxidase upon oxidation of these centers, increases with pH from 1 at acidic to 2.5 at alkaline pH (Fig. 2) (cf. Hallen and Nilsson, 1992; Mitchell and Rich, 1994). In the cyanide-liganded oxidase with heme  $a_3$  blocked in the oxidized state, the scalar H<sup>+</sup>/COX transfer associated to oxido-reduction of heme a, Cu<sub>A</sub> and Cu<sub>B</sub> (Wrigglesworth et al., 1988; Moody and Rich, 1990), when plotted as a function of pH, fits a bellshaped curve resulting from two hypothetical redoxlinked protolytic groups (Capitanio et al., 1990). Since the  $E_m$  of  $Cu_A$  is pH independent (Erecinska et al., 1971) the pK shifts of the two protolytic groups have to be linked to oxido-reduction of heme a (Artzatbanov et al., 1978) and/or  $Cu_B$  whose  $E_m$  in the cyanideliganded oxidase are apparently pH dependent (Moody and Rich, 1990). In the CO-liganded oxidase, where heme  $a_3$  and  $Cu_B$  are held in the reduced state, the  $E_m$  of heme a is, practically, pH independent (Ellis et al., 1986).

Redox Bohr effects in the unliganded oxidase

derive from three or more protolytic groups undergoing pK shift upon oxido-reduction of the oxidase. Two are associated to heme a (and possibly CuB) (Capitanio et al., 1990; Mitchell and Rich, 1994), and the other protolytic group(s) might be associated with heme  $a_3$ . One group could be represented by H<sub>2</sub>O<sub>2</sub> ligated to the heme-iron (Rich, 1991; Konstantinov et al., 1992), the others by protolytic residues in the protein. Genetic analysis of bacterial  $a, a_3$  cytochrome c oxidase has shown that there are in subunit I, in the proximity of heme a and the binuclear heme  $a_3$ -Cu<sub>B</sub> center, a number of conserved protolytic residues whose replacement by site-directed mutagenesis affects spectral and functional characteristics of the redox centers (Hosler et al., 1993, 1994; Thomas et al., 1993). These include tyrosine, threonine, and lysine residues whose pKs are in the range of those apparently involved in redox Bohr effects.

### VARIABILITY OF THE $H^+/e^-$ STOICHIOMETRY OF PROTON PUMPS

Direct models of redox proton pumps predict fixed and integral  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometries when electrons flow through a coupling site (Mitchell, 1966, 1987a). The stoichiometry could, however, decrease below the predicted mechanistic values if a fraction of the electrons flow through a parallel decoupled redox pathway. Indirect models can produce, in principle, variable stoichiometries due to electron and/or proton slips (Wikström *et al.*, 1981; Blair *et al.*, 1986; Malmström, 1990).

The issue has been extensively addressed by examining the steady-state relationship between respiratory rate and  $\Delta p$  in mitochondria and other membranes. The observed nonlinearity of this relationship (Nicholls, 1974; Pietrobon et al., 1983; Murphy, 1989; Brown and Brand, 1986) is taken by some authors as evidence of slip in proton pumps (Pietrobon et al., 1983; Luvisetto et al., 1991; see also Murphy and Brand, 1988). Others, however, argue against this interpretation and consider the nonlinearity to be due to nonohmic increase of membrane proton conductance at high  $\Delta p$  (leak) (Brown and Brand, 1986; Brown, 1989). The  $\leftarrow H^+/e^-$  stoichiometry of pumps in the respiratory chain is measured directly with two methods: the pulse method based on determination of the extent of H<sup>+</sup> release associated to consumption of a known amount of oxygen, or a reductant added to the system (Mitchell et al., 1979),

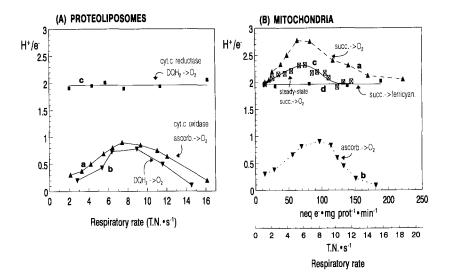


Fig. 3. Dependence of the  $\leftarrow H^+/e^-$  ratio on the rate of electron flow in reconstituted cytochrome c oxidase and cytochrome c reductase and in intact mitochondria. Panel A: (a) Cytochrome c oxidase liposomes were supplemented with cytochrome c and ascorbate plus N, N, N', N'-tetramethyl-p-phenylenediamine (TMPD). The respiratory rate was varied changing the concentration of TMPD. (b) The oxidase vesicles were supplemented with cytochrome c, duroquinol, and a trace of soluble cytochrome c reductase whose concentration was changed to vary the overall respiratory rate. (c) Cytochrome c reductase vesicles were supplemented with ferricytochrome c, a trace of soluble cytochrome c oxidase, and duroquinol. The respiratory rate was varied by titrating with antimycin A. In all the experiments respiration was initiated by the addition of ferricytochrome c, and the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> ratios were obtained from the initial rates of electron flow and proton translocation measured spectrophotometrically. For other details see Capitanio et al. (1991). Panel B: (a) Rat-liver mitochondria were supplemented with succinate; the respiratory rate was varied with the addition of malonate. (b) Mitochondria were supplemented with ascorbate and TMPD. The  $\leftarrow H^+/e^-$  ratio was measured from initial rates elicited by the addition of the substrate. (c) Mitochondria were supplemented with succinate and the  $\leftarrow H^+/e^-$  ratio was obtained from the rates of respiration and proton translocation measured at the aerobic steady-state. (d) KCN-Inhibited mitochondria were supplemented with ferricyanide, and the  $\leftarrow H^+/e^-$  ratio was obtained from the initial rates of electron flow and proton release elicited by the addition of succinate. For other details see Papa et al. (1991). The points of all the curves represent the mean of six or more experiments.

and the rate method in which the stoichiometry is obtained from measurement of initial (Reynafarje et al., 1979) or steady-state rates (Papa et al., 1973; Murphy and Brand, 1988) of  $H^+$  translocation and  $e^-$  flow. The prevailing conditions used in the pulse method are such that it is difficult to adjust the rate of  $e^-$  flow and the ensuing  $\Delta p$ . These parameters can, on the other hand, be more easily adjusted in the rate method, and recently Papa et al. (Papa et al., 1991; Capitanio et al., 1991; Cocco et al., 1992), using this method, have shown that the intrinsic  $\leftarrow H^+/e^-$  stoichiometry of the cytochrome system of mitochondria varies under the influence of kinetic and thermodynamic factors. A systematic study of the protonmotive activity of cytochrome c oxidase and

cytochrome c reductase in intact mitochondria and in the purified enzymes reconstituted in phospholipid vesicles was carried out by these authors. Measurements were carried out in the presence of valinomycin so that  $K^+$  migration prevented build-up of a membrane potential. The  $\leftarrow$   $H^+/e^-$  stoichiometry in cytochrome c oxidase vesicles measured from initial rates at level flow, i.e., under conditions of negligible  $\Delta p$ , first increases with the rate of electron flow to about one, then decreases again upon further enhancement of the rate (Fig. 3) (Capitanio et al., 1991). In cytochrome c reductase, reconstituted in vesicles and in mitochondria, the  $\leftarrow$   $H^+/e^-$  stoichiometry is, at level flow, two, independently of the actual rate of  $e^-$  flow (Fig. 3) (Papa et al., 1991; Capitanio et al., 1991; Cocco et al., 1992).

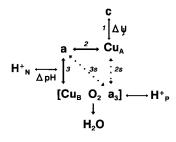


Fig. 4. Sequence of electron transfer steps in cytochrome c oxidase. The proton-coupled electron transfer pathway is shown by continuous arrows, and the decoupled electron transfer pathway (slips) by dotted arrows.

The rate dependence of the  $\leftarrow H^+/e^-$  stoichiometry in the oxidase (see also Proteau et al., 1983) can result from slips in  $e^-$  transfer. It is thought that, to be coupled to proton pumping,  $e^-$  have to follow the sequence cyte  $\rightarrow$  Cu<sub>A</sub>  $\rightarrow$  heme  $a \rightarrow$  Cu<sub>B</sub>  $\rightarrow$  heme  $a_3$ (Fig. 4) (Babcock and Wikström, 1992; see, however, Brown et al., 1994). Direct e<sup>-</sup> slip from Cu<sub>A</sub> to  $Cu_B$ , bypassing heme a, or from heme a to heme  $a_3$ , bypassing Cu<sub>B</sub> (Babcock and Wikström, 1992), will result in decoupling of proton pumping (Fig. 4). Electron slip could depend on the redox pressure imposed on the enzyme, negative cooperativity between the high and low potential redox centers (Nicholls and Petersen, 1974; Wikström et al., 1981; Mitchell et al., 1992), and the relative adjustment of the kinetics of the various redox steps. The low efficiency of proton pumping observed in oxygen pulses of the fully reduced enzyme (Papa et al., 1987; Oliveberg et al., 1991), as compared to that obtained in reductant pulses of the oxidized enzyme (Wikström and Krab, 1979; Papa et al., 1987a), could provide an example of how the activity of the pump can be affected by these and other factors (Papa, 1988).

The rate independence of the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometry in cytochrome c reductase vesicles would suggest, that in this system, H<sup>+</sup> transfer reactions are considerably slower than redox events, thus making negligible the effect of eventual rate-dependent  $e^-$  slips on proton pumping.

Measurements in intact mitochondria (Fig. 3) show that under level flow conditions the  $\leftarrow H^+/e^-$  ratio for succinate respiration varies from minima of two at extreme high (cf. Papa *et al.*, 1980a, 1980b, 1983a) and low respiratory rates (cf. Lorusso *et al.*, 1979) to about three at intermediate rates, with a rate dependence attributable to that exhibited by the  $\leftarrow H^+/e^-$  ratio for cytochrome *c* oxidase (Fig. 3) (Papa *et al.*, 1991; Capitanio *et al.*, 1991). It can be

noted that the range of electron transfer rates in which the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometry changes corresponds to the physiological range of respiratory rates of mitochondria in tissues (Fitzgerald, 1976) and amounts to not more than 10% of the turnover of cytochrome c oxidase (see Fig. 3).

Under steady-state conditions the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> ratio for succinate respiration still exhibits some rate dependence. At steady state, the highest ratios attainable at intermediate flow rates are, however, significantly lower than those observed for the same rates at level flow, this providing evidence that, besides the flow rate, also  $\Delta p$ , in fact its  $\Delta pH$  component ( $\Delta \psi$  was collapsed by valinomycin), affects the H<sup>+</sup>/e<sup>-</sup> stoichiometry (cf. Murphy and Brand, 1988). This is confirmed by other experiments showing that  $\Delta pH$ , but not  $\Delta \psi$ , depresses the efficiency of proton pumping (Cocco *et al.*, 1992; see also Murphy, 1989).

By specifically depressing proton-coupled electron flow,  $\Delta p$  can enhance contribution of decoupled  $e^-$  slips. Furthermore, alkalinization, at steady state, of the N phase can result in proton slip due to loss of protonation asymmetry of the critical proton-translocating center in the pump in the input state (Capitanio et al., 1990; Cocco et al., 1992). This protonation step might have a limited kinetic capacity (Hallen, 1993). Consistent with this view is the finding that the  $H^+/e^-$  ratio for succinate respiration at steady state in "inside out" submitochondrial vesicles, which expose the input state of the pump to the outer space, approaches the value of three at slightly acidic pH and in the presence of NaSCN to collapse  $\Delta p$  (Papa et al., 1973).

An analogous situation seems to be met in the light-driven pump of bacteriorhodopsin where the input proton channel is the principal electrical barrier to movement of protons across the membrane (Henderson *et al.*, 1990). There are observations indicating that also this pump might "slip" at high  $\Delta p$  (Westerhoff and Dancshazy, 1984).

It is interesting to note that the rate dependence of the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometry observed for cytochrome c oxidase at level flow diminished at the steady state. Under the latter conditions the critical factor for proton pumping seems to be represented for both the oxidase and the reductase by transmembrane  $\Delta$ pH which imposes a limitation to protonation of the pump in the input state. It is, however, possible that under actively phosphorylating conditions (state 3), proton-coupled uptake of phosphate and respiratory substrates and proton-influx for ATP synthesis

**Table I.** Proposed Molecular Mechanisms for Redox-Driven Proton Pumping by Cytochrome c Oxidase

#### (A) Metal-ligand exchanges

- (i) Redox-linked exchange of protolytic residues at Cu<sub>A</sub> (Chan and Li, 1990), Cu<sub>B</sub> (Larsen et al., 1992) or heme iron (Wiström and Krab, 1978)
- (ii) Redox-linked reorientation of OH<sup>-</sup> and H<sub>2</sub>O ligands (Mitchell, 1987b, 1988; Rich, 1991) or O<sub>2</sub>, and H<sub>2</sub>O<sub>2</sub> (see text) at Cu<sub>B</sub>
- (iii) O<sub>2</sub>-Triggered protolytic ligand exchange between Cu<sub>B</sub> and heme a<sub>3</sub> (Woodruff, 1993).
- (iv) Redox-linked exchange of hystidine and tyrosine at the fifth coordination position of Fe a<sub>3</sub> (Rousseau et al., 1993).

### (B) Redox-linked pK shifts

- (i) Redox-linked modification of a hydrogen bond between the formyl oxygen of heme a and a protolytic group in the protein (De Paula et al., 1990; but see Babcock and Wikström, 1992)
- (ii) Redox-linked conformational change in the protein with pK shifts in metal ligands combined with pK shifts in communicating protolytic residues (see text).

contribute to prevent establishment of a large  $\Delta pH$ , and possibly to enhance  $H^+$  concentration at the N input side of pumps, so that proton translocation by the cytochrome system can approach maximal efficiency.

The  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometry in vesicle-reconstituted cytochrome c oxidase seems also to be affected by the pH of the external medium, increasing in the pH range 6.0 to 7.0–7.5 (Papa et al., 1987). Low H<sup>+</sup>/e<sup>-</sup> ratios at acidic pH could result from proton slip caused by depression of deprotonation of the pump in the output state at the P side (Maison Peteri and Malmström, 1989). A pH dependence of the  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> ratio similar to that observed in cytochrome c oxidase vesicles has also been observed in the cytochrome bo quinol oxidase (Verkhovskaya et al., 1992).

## THE CATALYTIC CYCLE IN HEME-COPPER OXIDASES AND PROTON TRANSLOCATION

It is generally agreed that the  $\mathrm{Cu_B-heme}\ a_3$  (heme o) binuclear center, constantly associated with the largest subunit I in the members of the superfamily of cytochrome c and quinol oxidases (Hosler  $et\ al.$ , 1993), is directly involved in the coupling between dioxygen reduction to  $\mathrm{H_2O}$  and proton pumping (Mitchell, 1988; Malmström, 1990, Babcock and Wikström, 1992; Rousseau  $et\ al.$ , 1993; Woodruff, 1993). This is, however, not meant to exclude a role of the low-potential centers (Chan and Li, 1990), in particular of heme a (Babcock and Wikström, 1992).

The key reactions in the four-electron reduction of dioxygen to  $H_2O$  (Malmström, 1990; Babcock and Wikström, 1992) are: (1) two-electron reduction of  $Cu_B$  and heme  $a_3$ ; (2) oxygen binding, and (3) its reduction to heme  $a_3$ -bound peroxide (P); (4) delivery of the 3rd electron with formation of the first  $H_2O$ 

molecule and the oxy-ferryl derivative of heme  $a_3$ (F); (5) delivery of the 4th electron with formation of the second H<sub>2</sub>O molecule and reconversion of the binuclear center to the fully oxidized state (O). The first two protons consumed in the reduction of dioxygen to H<sub>2</sub>O are apparently taken up by the enzyme upon reduction of heme  $a_3$  and  $Cu_B$ (Mitchell et al., 1992; Kostantinov et al., 1992; see also Guerrieri et al., 1981); the 3rd and 4th protons are taken up separately in reaction (4) and (5) respectively; according to Babcock and Wikström (1992), of the four protons, two are taken up in reaction (4) and two in reaction (5). Wikström (1989) has proposed that the four electrons consumed in the reduction of dioxygen are not all equivalent for proton pumping as only the transfer of the 3rd [reaction (4)] and 4th electron [reaction (5)] would be associated to pumping of 2 protons per electron.

As regards the molecular mechanism by which proton pumping would be associated to electron transfer, various models have been proposed. These can be grouped in two types: exchange of protolytic ligands at the metal centers (A) and redox-linked pK shifts of protolytic groups in the enzyme (B). Specific examples of both types, which have recently appeared in the literature, are summarized in Table I.

In Fig. 5, two of the possible models by which the oxygen chemistry at the binuclear center could be directly involved in the protonmotive activity of heme copper oxidases are presented (cf. Mitchell, 1987b, 1988; Rich, 1991). Both models (a) and (b) incorporate the various oxygen-intermediate derivative of heme  $a_3$  resolved by resonance Raman spectroscopy (Varotsis *et al.*, 1993). Model (a) is a minimal scheme assuming anisotropic protonation from the N phase and deprotonation to the P phase of the peroxy (P) and oxy-ferryl (F) derivative of heme  $a_3$ , protonmotive steps resulting in the translocation of  $2H^+$  from the N to the P phase per  $e^-$  transferred in the

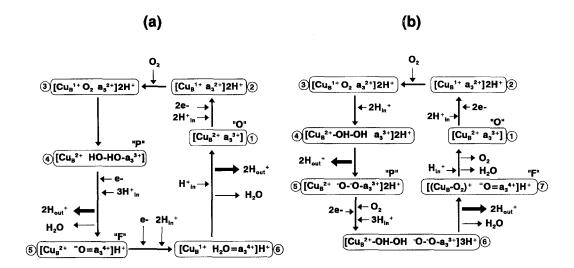


Fig. 5. Tentative models for protonmotive dioxygen reduction in heme-copper oxidases. Model (a) is based on anisotropic protonation of the "P" and "F" intermediates of heme  $a_3$ ; model (b) is based on initial production of hydrogen peroxide on  $Cu_B$  and its anisotropic (de)protonation. The protons shown in the models, in addition to those directly involved in the oxygen reduction chemistry, are Bohr protons transferred by protolytic amino-acid residues. For details see text.

conversion of "P" to "F" and "F" to "O." Model (b) introduces the proposal that a central process in proton pumping is the production of hydrogen peroxide on  $Cu_B$ . It is conceived that the first two electrons, which reduce  $Cu_B$  and heme  $a_3$ , are transferred to dioxygen bound to the binuclear center with formation of  $Cu^{2+}$ -peroxide protonated from the N phase (cf. Rich, 1991). This step is followed by exchange of  $H_2O_2$  from  $Cu_B^{2+}$  to  $a_3^{3+}$  (via a bridged intermediate?) where it finds an environment favoring its deprotonation in the P phase, with pumping of the first two protons.

The 3rd and 4th electrons, accompanied by binding of a second  $O_2$  and 3 N protons, produce a second  $Cu_B^{2+}-H_2O_2$ . One electron of  $Cu_B^{2+}-H_2O_2$  is then donated to  $^-O-^-O-a_3$  with formation of compound F, the first  $H_2O$  molecule, and pumping in the P phase of the other two protons. The remaining one-electron species  $(Cu_B-O_2)^+$  transfers the 4th electron to the F compound with formation, with the uptake of a N proton (cf. Vygodina and Konstantinov, 1988; Konstantinov *et al.*, 1992), of the second  $H_2O$  and reconversion of the binuclear center to the fully oxidized state.

In this context it can be recalled that Vygodina and Konstantinov (1987) have proposed that  $H_2O_2$  dismutation at the  $Cu_B$ -heme  $a_3$  center could be involved in electrogenic proton translocation.

Enzyme-bound Cu<sup>2+</sup>-peroxide complex is considered as an intermediate in copper monooxygenases (Klinman and Brenner, 1988). No direct evidence

seems, however, to have been reported so far for its formation in heme-copper oxidases (see, however, Vygodina and Konstantinov, 1987, 1989). Its detection might have escaped since  $Cu_B$  absorbance is negligible compared to heme  $a_3$ .

Evidence has been presented suggesting that Cu<sub>B</sub> is the site of the initial ligation step in the physiological function of heme-copper oxidases and that this ligation step may be involved in the link between the redox and proton pumping functions (Woodruff, 1993; Lemon *et al.*, 1993). The present model (b) makes use of the concept, introduced by Mitchell, of ligation and redox-linked reorientation of oxygen species on Cu<sub>B</sub> (Mitchell, 1987b; see also Rich, 1991). In Mitchell's model the ligands to Cu<sub>B</sub> are OH<sup>-</sup> (or O<sup>-</sup>) and  $H_2O$ ; in the present model,  $O_2$  and  $H_2O_2$ .  $H_2O_2$ could correspond to the hypothetical ligand proposed by Woodroof (1993) to shuttle from Cu<sub>B</sub> in the protonated state to heme  $a_3$  where it is deprotonated. Our proposal that the ligand in question is oxygen peroxide itself eliminates the difficulty to understand how the exchange of a hypothetical endogenous ligand will be able to couple to redox events when the oxo-ligands are bound to heme  $a_3$  (Rousseau et al., 1993).

Bohr effects, apparently shared by  $Cu_B$  and heme a (Moody and Rich, 1990), could represent a cooperative device by which heme a participates in the pump by coupling electron delivery to  $Cu_B$  with proton translocation from the N phase to the  $Cu_B - O^- - O^-$  compound.

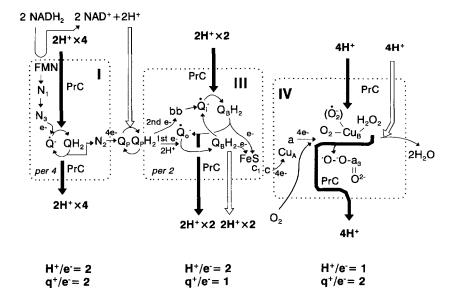


Fig. 6. General scheme for proton pumping at the three coupling sites of the mitochondrial respiratory chain in complex I, III, and IV, respectively. The maximal  $\leftarrow H^+/e^-$  and  $\leftarrow q^+/e^-$  ratios attainable for the three sites are given at the bottom of the scheme. Proton pumping in complex I is based on the information reviewed in Weiss *et al.* (1991) and translocation of  $2H^+/e^-$  by protein-bound  $\dot{Q}^-/QH_2$ . For proton translocation in complex III the Q-gated proton pump (Papa *et al.*, 1983b, 1989) is shown. For complex IV a simplified version of the model of Fig. 5 is presented. PrC stands for proton-conducting pathway in proteins.

It can be noted that in the model of Fig. 5, if electrons delivered by the low-potential centers by pass  $Cu_B$ , and  $H_2O_2$  is formed and cleaved directly on heme  $a_3$ , decoupling of proton pumping could occur. Furthermore, peroxidative oxidation of ferrocytochrome c could result in the release of  $2H^+/e^-$  (cf. Miki and Orii, 1986): one  $H^+$  would be scalar and associated to reaction of  $H_2O_2$  with the binuclear center; the second would be vectorial and associated to oxidation of ferrocytochrome c.

# TOWARDS A UNIFYING CONCEPT FOR PROTON PUMPING IN THE RESPIRATORY CHAIN

The maximal  $\leftarrow$  H<sup>+</sup>/e<sup>-</sup> stoichiometry and  $\leftarrow$  q<sup>+</sup>/e<sup>-</sup> stoichiometries observed for complex I (Weiss *et al.*, 1991), III (Papa and Lorusso, 1984), and IV (Wikström and Saraste, 1984) of the mitochondrial respiratory chain are 2 and 2, 2 and 1, and 1 and 2 respectively (see Fig. 6). A detailed tentative mechanism for proton pumping in the oxidase (complex IV) has just been described in the previous section. For the pumping activity of complex III two models are

available: the Q-cycle (Mitchell, 1976) and the Q-gated proton pump Papa et al. 1983b, 1989). The former mechanism has, so far, met with general favor (Lenaz et al., 1990). The relative merits of the two models is discussed in Papa et al. 1989. For the Q cycle the reader can refer to the many reviews written on the issue (Lenaz et al., 1990). As regards the Qgated proton pump, it can be noted that the two electrons transferred from ubiquinol to cytochrome c are not equivalent for proton pumping (Papa et al., 1989). The transfer of the first electron of the quinol of the pool to cytochrome c is associated with release in the P phase of two scalar protons, and the transfer of the second electron results in vectorial transport of 2H<sup>+</sup> and 2 q<sup>+</sup> from the N to the P phase (Papa et al., 1989), as it is proposed to occur in the transfer of the 3rd electron from  $Cu_B^{2+}$  – $H_2O_2$  to  $a_3$ -O<sup>-</sup>-O<sup>-</sup> to form the F compound in the oxidase.

The situation in NADH-ubiquinone oxidoreductase (complex I) might appear to be more complicated due to the presence in this complex of different organic and metal redox centers (Weiss *et al.*, 1991). Of the  $\leftarrow 2H^+/e^-$  pumped by the complex, one is considered to be associated to redox cycling of the intrinsic quinone molecule, apparently located between two-electron carrying Fe–S centers ( $N_3$  and  $N_2$ ); the second is attributed to an as yet unidentified redox process on the substrate side of  $N_3$  (Weiss *et al.*, 1991). Recently, Kotylar *et al.* (1990) have presented evidence showing the existence in the complex of a protein-stabilized, rotenone-sensitive, g = 2.00 ubisemiquinone similar to the antimycin-sensitive ubisemiquinone of complex III (Ohnishi and Trumpower, 1980), the central element in the Q-gated pump (Papa *et al.*, 1983b, 1989; Lorusso *et al.*, 1989).

We would like to propose that, as in complex III, also in complex I electron transfer by the protein-stabilized ubisemiquinone/quinol couple from the  $N_3$  to  $N_2$  FeS centers results in vectorial transport across the osmotic barrier of the membrane from the N to the P phase of 2 protons per electron.

This similarity that emerges here for the three complexes seems to allow the conclusion that in each of the complexes the central element in redoxlinked proton pumping is provided by protonmotive redox catalysis at the primary reaction centers:  $U\dot{Q}^-/UQH_2$  in complex I and III and  $O_2/(\dot{O}_2^-)/$ H<sub>2</sub>O<sub>2</sub> in complex IV. Transmembrane proton pumping will result in each of the three complexes from the combination of the protonmotive redox events at the catalytic centers and cooperative proton-input pathways in the protein from the N phase to the catalytic center and proton-output pathways from the center to the P phase, much as in the case of bacteriorhodopsin. The advanced structural and physicochemical knowledge available for this light-driven pump has made it possible to identify the critical aspartic residue involved in deprotonation of the Schiff base of the retinal to the external side and the aspartic residue responsible for refilling the proton fault at the active site from the cytosolic side (Henderson et al., 1990). Information has also been obtained on other residues in the input and output proton conduction pathways (Henderson et al., 1990).

We believe that the rapid progress being made in the knowledge of the structural and functional features of redox proton pumps, contributed nowadays by site-directed mutagenesis and sophisticated spectroscopic analysis, will allow a better understanding of the molecular structure and mechanism of redox proton pumps in prokaryotic and eukaryotic cells.

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### NOTE ADDED IN PROOF

After this manuscript was submitted a paper has appeared (Larsen, R. W. (1994) FEBS Lett. 352, 365–368) with data indicating initial binding of H<sub>2</sub>O<sub>2</sub> to Cu<sub>B</sub> preceding its binding to heme a<sub>3</sub>.

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